

CATALYST FUND MANAGERS (PTY) LTD

DATA PRIVACY STATEMENT

INTRODUCTION AND PREAMBLE

Catalyst Fund Managers (Pty) Ltd and its subsidiaries (jointly referred to as "Catalyst") shall take all reasonable measures to protect your personal information and for the purpose of this disclaimer "personal information" shall be defined as detailed in the Promotion of Access to Information Act, Act 2 of 2000 ("PAIA") and the Protection of Personal Information Act, Act 4 of 2013 ("POPIA").

The PAIA and POPI Acts are available online at <https://www.justice.gov.za/inforeg/legal.html>.

This data privacy statement explains how Catalyst processes the personal information collected from you and also informs you of your rights in terms POPIA.

This privacy statement applies to all companies within the Catalyst group. These companies are as follows:

- Catalyst Fund Managers (Pty) Ltd
- Catalyst Fund Managers SA (Pty) Ltd
- Catalyst Fund Managers Global (Pty) Ltd
- Catalyst Fund Managers Alternative Investments (Pty) Ltd

PERSONAL INFORMATION PROCESSED BY CATALYST

Depending on the type of business conducted, or the relationship you have with Catalyst the following types of personal information may be processed:

- Name
- race (for employment or regulatory purposes only)
- gender
- marital status
- nationality
- age
- qualifications
- financial information
- employment history
- tax identification numbers
- contact details
- bank account details

WHY CATALYST MAY PROCESS YOUR PERSONAL INFORMATION

Catalyst collects, stores and uses your personal information primarily for the following purposes:

- To communicate requested information to you
- To provide investment management services to you as requested
- To meet regulatory obligations such as is contained in (although not limited to) the Financial Intelligence Centre Act, 2001 ("FICA"), Financial Advisory &

Intermediary Services Act, 2003 ("FAIS") and the Collective Investment Scheme Control Act, 2002 ("CISCA").

- To communicate additional products and services offering by Catalyst that may be of interest to you
- To provide occasional newsletters and market insights if requested

YOUR RIGHTS AS A DATA SUBJECT

Catalyst recognises that all its data-subjects have the following rights regarding their personal information:

- The right to expect that Catalyst uses their personal information appropriately, transparently and securely.
- The right to establish whether Catalyst holds personal information related to them, including the right to request access to that personal information.
- The right to request, where necessary, that their personal information be corrected or deleted where Catalyst is no longer authorised to retain the personal information.
- The right to submit a complaint to the Information Regulator regarding an alleged infringement of any of the rights protected under POPI and to institute civil proceedings regarding the alleged non-compliance with the protection of their personal information
- The right to be notified in any situation where Catalyst has reasonable grounds to believe that the personal information of the client has been accessed or acquired by an unauthorised person.

SECURITY SAFEGUARDS

The information Catalyst maintains concerning its data subjects is stored in secure databases that have built-in safeguards to ensure its privacy and confidentiality. Your personal information is strictly access controlled, with access granted to identified authorised persons only.

DATA SECURITY BREACHES

In the event of a security compromise where your personal information has been accessed or acquired by an unauthorised person, Catalyst will notify you directly as soon possible as provided for in POPIA.

COMPLAINTS

If you have any questions or wish to complain about the processing of your personal information, or if you wish to exercise any of your rights as a data subject, you can contact Catalyst's Information Officer. The Information Officer's contact details are as follows:

Name: Michael Arbuthnot
Landline: +27 21 657 5500
Email: mail@catalyst.co.za
Postal Address: PO Box 44845
Claremont
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